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TRANSMITTAL  
FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

13

Application Number

09/688,392

Filing Date

October 16, 2000

First Named Inventor

Thomas C. Naratil

Art Unit

3624

Examiner Name

Hamilton, Lalita M

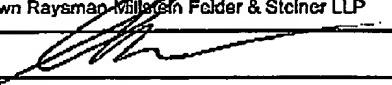
Attorney Docket Number

4797-60 (4034-20)

## ENCLOSURES (Check all that apply)

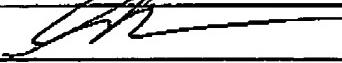
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to TC
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input checked="" type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Rcp'y Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Change of Correspondence Address	<input type="checkbox"/> Other Enclosure(s) (please identify below):
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<input type="checkbox"/> Reply to Missing Parts/ Incomplete Application	<input type="checkbox"/> Landscape Table on CD	
<input type="checkbox"/> <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53		
<b>Remarks</b>		

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	Brown Rayman Miller Felder & Steiner LLP		
Signature			
Printed name	Antonio Papageorgiou		
Date	March 7, 2005	Reg. No.	53,431

## CERTIFICATE OF TRANSMISSION/MAILING -703-872-9306

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Signature			
Typed or printed name	Antonio Papageorgiou	Date	March 7, 2005

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PATENT  
ATTORNEY DOCKET NO. 4797-60 (4034-20)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	Thomas C. Naratil		
Serial No.:	09/688,392	Examiner:	Hamilton, Lalita M
Filed:	October 16, 2000	Group Art Unit:	3624
Title:	<b>SYSTEM AND METHOD FOR TRADING TAXABLE AND NON-TAXABLE SECURITIES</b>		

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

AMENDMENT AND RESPONSE TO OFFICE ACTION

Sir:

This Amendment and Response is submitted in response to the Office Action dated January 12, 2005 in connection with the above-identified application. The Commissioner is authorized to charge any deficiency or credit any overpayment to Deposit Account No. 02-4270.

Remarks begin on Page 3.